

SOS Stock Plan Participant Portal

Our customizable portal can be seamlessly integrated with your stock plan database and into your communications structure/intranet to give your participants 24/7 access to all their equity compensation information

Are you still sending out paper communications at year-end to your participants? Do you find that much of your day is spent answering basic inquiries or resending information pertaining to employee taxes, holdings, and confirmations? Have you found that even when using mail merge to email this information to your participants, that they still can't find it when they need it, and end up coming back to you? Are you looking for a way to gather consent from your participants to allow for electronic distribution of Forms 3921/3922?

With the SOS Stock Plan Participant Portal, you can now put vital information at your employees' fingertips. The Portal can be customized to the look and feel of your company, it sits behind your secure firewall, and it can accept multiple forms of source data to easily work with any stock plan platform allowing you to display both historical and current information on:

Forms 3921/3922

Purchase Confirmations

ESPP Disposition Surveys

RS/RSU Release Confirms

Exercise Confirmations

Pre-vest Communications

Year-end Tax Summaries

Option & Award Summaries

New Grant Notices

Educational Materials, Plan Documents and FAQs

A Message of the Day



The screenshot displays the SOS Stock & Option Solutions portal interface. At the top, the logo and name 'Stock & Option Solutions' are visible, along with links for 'Administrator', 'Help', and 'Log Out'. The main navigation bar includes 'Home', 'Tax Statements Overview', 'Current Year Tax Details', and 'Historical Tax Details'. The 'Current Year Tax Details' section is active, showing a list of items with checkboxes: 'Year End Purchase Tax Statement', 'Year End Tax Statement', 'Tax Withholding Statement', 'Form 3921 (ISO)', and 'Form 3922 (ESPP)'. Below this list are two dropdown menus for 'From Year' (set to 2007) and 'To Year' (set to 2011), followed by a 'Submit' button. A left sidebar contains navigation links: 'Home', 'Tax Statements', 'Current Year', 'Historical', and 'Transactions'.

By utilizing SOS's state-of-the art technology, your company will save time, money, and provide unparalleled customer service to your employees worldwide.

SOS Stock Plan Participant Portal Features and Benefits:

- 24/7 access to a secure, customized website (no more scrambling to answer participant tax questions on April 15th!)
- Posting information about your stock plans is easy; if the data exists, we can display it
- Customizable to your specifications; match or enhance existing paper or electronic communications and reduce the time and cost associated with printing and mailing transaction and tax information
- Imports required data from your existing stock plan system
- View /review stock plan data retrieved before posting to website
- Easy-to-understand interface lets your participants find what they are looking for quickly, including historical records
- Installs at your site behind your firewall and can be integrated with single sign on, allowing participants easy access without having to remember yet another login ID and password
- Automatic or manual e-mail notifications sent to the participant notifying them when new data is posted
- Links to educational materials (FAQs, Webinar Recordings) and plan documents, making the website a one-stop shop for participant questions
- Get real-time, comprehensive reports on all employee activity, inactivity, etc.
- **Saves Time:** countless hours printing, stuffing, and mailing confirmations & statements
- **Saves Money:** on printing, paper, envelopes and postage
- **Reduces Risk:** Eliminate the danger of manual processes like the risk of a statement being mailed to the wrong participant

SOS 6039 E-delivery Consent Module

With the SOS Participant Portal, you can generate forms 3921 and 3922 and display them on the portal, and you can also utilize the built-in Consent Module to obtain the required participant affirmative consent for electronic delivery.

Consent Module Features**Employee Access:**

- 24/7 access
- Displays required legal language
- Consent or decline to consent
- Automatic e-mail notification to the participant, confirming consent or decline
- Participants can make changes to their consent status at anytime

Administrator Access:

- Easy, electronic distribution of required legal language and receipt of consent, allowing you to distribute tax forms electronically and eliminating the time and cost of creating, printing and mailing paper statements
- Effortless reminder emails to employees who have yet to log in or provide their consent
- Instant access to review and update individual employee data
- Real-time, comprehensive reports on all employee activity, including the ability to download employee consent status